

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

**2016**

Open to Public Inspection

Form **990-PF**

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning

, and ending

Name of foundation <b>GREATER ROCHESTER HEALTH FOUNDATION</b>		A Employer identification number <b>13-4301222</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>150 STATE STREET</b>	Room/suite <b>100</b>	B Telephone number <b>585-258-1799</b>
City or town, state or province, country, and ZIP or foreign postal code <b>ROCHESTER, NY 14614</b>		C If exemption application is pending, check here ... <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ... <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>228,550,611.</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ... <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received .....			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments .....				
	4 Dividends and interest from securities .....	5,103,116.	4,619,653.		STATEMENT 1
	5a Gross rents .....				
	b Net rental income or (loss) .....				
	6a Net gain or (loss) from sale of assets not on line 10 .....	4,368,644.			
	b Gross sales price for all assets on line 6a .....	7,160,873.			
	7 Capital gain net income (from Part IV, line 2) .....		0.		
	8 Net short-term capital gain .....				
	9 Income modifications .....				
	10a Gross sales less returns and allowances .....				
b Less: Cost of goods sold .....					
c Gross profit or (loss) .....					
11 Other income .....	1,541.	2,178,745.		STATEMENT 2	
12 Total. Add lines 1 through 11 .....	9,473,301.	6,798,398.			
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc. ....	442,168.	0.		442,168.
	14 Other employee salaries and wages .....	365,087.	0.		354,887.
	15 Pension plans, employee benefits .....	158,243.	0.		158,243.
	16a Legal fees .....	30,179.	0.		30,179.
	b Accounting fees .....	23,710.	0.		23,710.
	c Other professional fees .....	150,117.	0.		150,117.
	17 Interest .....				
	18 Taxes .....	179,617.	76,479.		-26,439.
	19 Depreciation and depletion .....	58,097.	1,838.		
	20 Occupancy .....	89,320.	0.		89,320.
	21 Travel, conferences, and meetings .....	36,091.	0.		36,091.
	22 Printing and publications .....				
	23 Other expenses .....	6,828,301.	2,679,592.		3,840,069.
	24 Total operating and administrative expenses. Add lines 13 through 23 .....	8,360,930.	2,757,909.		5,098,345.
	25 Contributions, gifts, grants paid .....	6,779,992.			6,779,992.
26 Total expenses and disbursements. Add lines 24 and 25 .....	15,140,922.	2,757,909.		11,878,337.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements .....	-5,667,621.				
b Net investment income (if negative, enter -0-)		4,040,489.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,938,869.	452,314.	452,314.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	365,661.	40,892.	40,892.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock	STMT 8 125,616,625.	108,271,829.	108,271,829.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis			
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other	STMT 9 96,187,817.	119,767,939.	119,767,939.	
14 Land, buildings, and equipment: basis	885,813.			
Less: accumulated depreciation	868,176.	75,734.	17,637.	
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	224,184,706.	228,550,611.	228,550,611.	
Liabilities	17 Accounts payable and accrued expenses	277,418.	313,721.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)	STATEMENT 10 30,395.	153,766.	
23 Total liabilities (add lines 17 through 22)	307,813.	467,487.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here	<input checked="" type="checkbox"/>		
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	223,876,893.	228,083,124.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here	<input type="checkbox"/>		
	and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds				
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	223,876,893.	228,083,124.		
31 Total liabilities and net assets/fund balances	224,184,706.	228,550,611.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	223,876,893.
2 Enter amount from Part I, line 27a	2	-5,667,621.
3 Other increases not included in line 2 (itemize)	3	9,873,852.
4 Add lines 1, 2, and 3	4	228,083,124.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	228,083,124.

**Part IV Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	<b>SEE ATTACHED STATEMENTS</b>			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	7,160,873.		12,321,141.	-2,635,739.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			-2,635,739.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 .....	2	-2,635,739.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 .....	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	11,890,022.	234,346,668.	.050737
2014	11,522,407.	243,150,947.	.047388
2013	10,948,018.	233,514,891.	.046884
2012	9,369,920.	217,713,545.	.043038
2011	8,748,706.	188,182,711.	.046490

2	Total of line 1, column (d) .....	2	.234537
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years .....	3	.046907
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 .....	4	221,153,654.
5	Multiply line 4 by line 3 .....	5	10,373,654.
6	Enter 1% of net investment income (1% of Part I, line 27b) .....	6	40,405.
7	Add lines 5 and 6 .....	7	10,414,059.
8	Enter qualifying distributions from Part XII, line 4 .....	8	11,878,337.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	40,405.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	40,405.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	40,405.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	59,739.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	260,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	319,739.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	279,334.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 90,000.   Refunded <input type="checkbox"/>	11	189,334.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) .....		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) .....		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? .....	N/A	
Website address ▶ <b>WWW.THEGRHF.ORG</b>		
14 The books are in care of ▶ <b>THOMAS WESLEY, CFO</b> Telephone no. ▶ <b>585-258-1799</b>		
Located at ▶ <b>150 STATE STREET, 100, ROCHESTER, NY</b> ZIP+4 ▶ <b>14614</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here .....	<input type="checkbox"/>	
and enter the amount of tax-exempt interest received or accrued during the year .....	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? .....		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? .....	N/A	
Organizations relying on a current notice regarding disaster assistance check here .....	<input type="checkbox"/>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? .....	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ▶ _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) .....	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) .....	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? .....	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? .....	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No **N/A** 5b

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No 6b X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **N/A** 7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		442,168.	71,279.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA ZAPPIA - 150 STATE STREE SUITE 100, ROCHESTER, NY 14614	CHIEF LEARNING OFFICER 40.00	134,948.	29,232.	0.
HEIDI BURKE - 150 STATE STREE SUITE 100, ROCHESTER, NY 14614	SENIOR PROGRAM OFFICER 40.00	116,998.	29,886.	0.
SHARON LEGETTE-SOBERS - 150 STATE STREE SUITE 100, ROCHESTER, NY 14614	SENIOR PROGRAM OFFICER 40.00	105,377.	28,563.	0.
JUSTIN RAND - 150 STATE STREE SUITE 100, ROCHESTER, NY 14614	DIRECTOR OF ACCOUNTING AND ADMINIST 40.00	87,577.	23,273.	0.
MAYNOR GONZALEZ - 150 STATE STREE SUITE 100, ROCHESTER, NY 14614	PROGRAM OFFICER 40.00	86,962.	17,627.	0.
<b>Total</b> number of other employees paid over \$50,000				0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
TIPPING POINT COMMUNICATIONS - 1349 UNIVERSITY AVENUE # 1, ROCHESTER, NY 14607	CONSULTING	354,570.
NEPC, LLC BOX 4110, WOBURN, MA 01888-4110	CONSULTING	229,386.
TCC GROUP - 31 W. 27TH STREET 4TH FLOOR, NEW YORK, NY 10001	CONSULTING	212,050.
BENE-CARE, INC. - 1260 CREEK STREET STE 100, WEBSTER, NY 14580-2297	CONSULTING	118,229.
DIANE LARTER 450 WELLINGTON AVENUE, ROCHESTER, NY 14619	CONSULTING	94,331.
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount	
1 N/A		
2		
3 All other program-related investments. See instructions.		
Total. Add lines 1 through 3		0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities .....	1a	220,110,853.
b	Average of monthly cash balances .....	1b	4,410,623.
c	Fair market value of all other assets .....	1c	
d	<b>Total</b> (add lines 1a, b, and c) .....	1d	224,521,476.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....	1e	0.
2	Acquisition indebtedness applicable to line 1 assets .....	2	0.
3	Subtract line 2 from line 1d .....	3	224,521,476.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) .....	4	3,367,822.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 .....	5	221,153,654.
6	<b>Minimum investment return.</b> Enter 5% of line 5 .....	6	11,057,683.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6 .....	1	11,057,683.
2a	Tax on investment income for 2016 from Part VI, line 5 .....	2a	40,405.
b	Income tax for 2016. (This does not include the tax from Part VI.) .....	2b	40,261.
c	Add lines 2a and 2b .....	2c	80,666.
3	Distributable amount before adjustments. Subtract line 2c from line 1 .....	3	10,977,017.
4	Recoveries of amounts treated as qualifying distributions .....	4	0.
5	Add lines 3 and 4 .....	5	10,977,017.
6	Deduction from distributable amount (see instructions) .....	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 .....	7	10,977,017.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 .....	1a	11,878,337.
b	Program-related investments - total from Part IX-B .....	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes .....	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required) .....	3a	
b	Cash distribution test (attach the required schedule) .....	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 .....	4	11,878,337.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b .....	5	40,405.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 .....	6	11,837,932.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				10,977,017.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			10,729,840.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 11,878,337.				
a Applied to 2015, but not more than line 2a			10,729,840.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				1,148,497.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				9,828,520.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**SEE STATEMENT 12**

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ACTION FOR A BETTER COMMUNITY 550 E. MAIN STREET ROCHESTER, NY 14604	UNRELATED	PC	GRANTS - COMMUNITY, GRANTS - OPPORTUNITY 2015	131,741.
AGAPE COUNSELING ASSOCIATES 21 WILLOW POND WAY, SUITE 103 ROCHESTER, NY 14526	UNRELATED	PC	COMMUNITY HEALTH GRANTS	17,330.
AINSLEY'S ANGELS OF AMERICA PO BOX 6287 VIRGINIA BEACH, VA 23456	UNRELATED	PC	COMMUNITY HEALTH GRANTS	5,000.
AL SIGL COMMUNITY OF AGENCIES 280 SANFORD STREET ROCHESTER, NY 14620	UNRELATED	PC	OTHER GRANT INITIATIVES	5,000.
AMERICAN CANCER SOCIETY 25 S. PLATT STREET ALBION, NY 14411	UNRELATED	PC	OTHER GRANT INITIATIVES	125.
<b>Total</b>			<b>SEE CONTINUATION SHEET(S)</b>	<b>6,779,992.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>SEE CONTINUATION SHEET(S)</b>	<b>0.</b>





GREATER ROCHESTER HEALTH FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ABERDEEN EMERGING MARKETS SMALLER COMPANIES FUND			
b	AETHER REAL ASSETS II LP			
c	AETHER REAL ASSETS II LP			
d	AETHER REAL ASSETS III LP			
e	AETHER REAL ASSETS III LP			
f	AG REALTY FUND VIII LP			
g	AMBERBROOK V LLC			
h	AMBERBROOK V LLC			
i	APOLLO EUROPEAN FUND II			
j	ATLANTA CAPITAL (RX-54)			
k	BLACKROCK TIPS FUND			
l	CARLYLE REALTY PARTNERS VI LP			
m	CARLYLE REALTY PARTNERS VI LP			
n	DB ADVISORS/DWS GLOBAL COMMODITIES-DEUTSHE BANK			
o	DB ADVISORS/DWS GLOBAL COMMODITIES-DEUTSHE BANK			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				-349,325.
b				10,820.
c				289,616.
d				3,743.
e				885.
f				242,187.
g				2,928.
h				207,318.
i				42,422.
j				945,157.
k				5,682.
l				-46,613.
m				171,800.
n				241,972.
o				-1,315,904.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-349,325.
b				10,820.
c				289,616.
d				3,743.
e				885.
f				242,187.
g				2,928.
h				207,318.
i				42,422.
j				945,157.
k				5,682.
l				-46,613.
m				171,800.
n				241,972.
o				-1,315,904.

2	Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } .....	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 .....	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a DOUBLE LINE TOTAL RETURN (RX-55)			
b FR XII-A BRAVO AIV, LP			
c FR XII-A BRAVO AIV, LP			
d FR XII-A CHARLIE AIV, LP			
e FR XII-A MIDSTATES AIV, LP (FINAL 2016)			
f FR XII-A PARALLEL VEHICLE, LP			
g FR XII-A PBF AIV, LP			
h GRANTHAM (GMO) INT'L EQ ALLOC FUND III			
i GROSVENOR INSTITUTIONAL PARTNERS, LP			
j INDUSTRY VENTURES FUND VI, LP			
k INDUSTRY VENTURES SECONDARY VII, LP			
l INDUSTRY VENTURES SECONDARY VII, LP			
m LOOMIS FIXED INCOME FUND			
n NEWSTONE CAPITAL PARTNERS II, LP			
o PERELLA WEINBERG PARTNERS ABV OPPORTUNITY OFFSHOR			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-24,083.
b			-630.
c			-177,000.
d			-2,853.
e			-1,191.
f			50.
g			97.
h			-175,179.
i			16,314.
j			155,296.
k			5,023.
l			21,648.
m			156,734.
n			55,423.
o			8,226.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-24,083.
b			-630.
c			-177,000.
d			-2,853.
e			-1,191.
f			50.
g			97.
h			-175,179.
i			16,314.
j			155,296.
k			5,023.
l			21,648.
m			156,734.
n			55,423.
o			8,226.

2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } .....	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 .....	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PERMAL PRIVATE EQUITY			
b PIMCO ALL ASSET FUND			
c PINNACLE NATURAL RESOURCES, LP			
d PINNACLE NATURAL RESOURCES, LP			
e PRIVATE ADVISORS SMALL COMPANY BUYOUT FUND V, LP			
f PRIVATE ADVISORS SMALL COMPANY BUYOUT FUND V, LP			
g RIVERSTONE			
h ROBECO PREMIUM EQUITY FUND (RX-52)			
i SECONDARY OPPORTUNITIES FUND III LP			
j SECONDARY OPPORTUNITIES FUND III LP			
k SIGULER GUFF DISTRESSED OPPORTUNITIES FUND III, L			
l SIGULER GUFF DISTRESSED OPPORTUNITIES FUND III, L			
m SIGULER GUFF DISTRESSED OPPORTUNITIES FUND IV, LP			
n SIGULER GUFF DISTRESSED OPPORTUNITIES FUND IV, LP			
o SIGULER GUFF DISTRESSED REAL ESTATE OPPORTUNITIES			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			173,589.
b			-281,920.
c			218,579.
d			-270,164.
e			82.
f			102,773.
g			93,847.
h			634,736.
i			345.
j			331,791.
k			-3,471.
l			28,113.
m			12,125.
n			220,251.
o			30,185.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			173,589.
b			-281,920.
c			218,579.
d			-270,164.
e			82.
f			102,773.
g			93,847.
h			634,736.
i			345.
j			331,791.
k			-3,471.
l			28,113.
m			12,125.
n			220,251.
o			30,185.

2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } .....	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 .....	3	



GREATER ROCHESTER HEALTH FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SILCHESTER INTERNATIONAL INVESTORS			
b SILCHESTER INTERNATIONAL INVESTORS			
c STATE STREET BANK AND TRUST COMPANY			
d STATE STREET BANK AND TRUST COMPANY			
e THE EMERGING MARKETS COUNTRY FUND (CITY OF LONDON			
f THE EMERGING MARKETS COUNTRY FUND (CITY OF LONDON			
g WARBURG PINCUS (EUROPA) PRIVATE EQUITY XI CAYMAN			
h WARBURG PINCUS PRIVATE EQUITY (E&P) XI-A, LP			
i WARBURG PINCUS PRIVATE EQUITY (LEXINGTON) XI-A LP			
j WARBURG PINCUS PRIVATE EQUITY XI, LP			
k WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL)			
l WARBURG PINCUS PRIVATE EQUITY XI (UNIVERSAL) LP			
m WELLS (RX-53)			
n FR XII-A MIDSTATES AIV, LP (FINAL 2016)	P		
o STATE STREET BANK AND TRUST COMPANY	P	01/01/06	12/31/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			94,875.
b			788,375.
c			163.
d			51,210.
e			5,581.
f			154,415.
g			-5,883.
h			97.
i			107,849.
j			-17,119.
k			8,335.
l			140.
m			-444,933.
n	8,123.	8,123.	0.
o	7,152,750.	12,313,018.	-5,160,268.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			94,875.
b			788,375.
c			163.
d			51,210.
e			5,581.
f			154,415.
g			-5,883.
h			97.
i			107,849.
j			-17,119.
k			8,335.
l			140.
m			-444,933.
n			0.
o			-5,160,268.

2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } .....	2	-2,635,739.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 .....	3	N/A

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN DIABETES ASSOCIATION 2451 CRYSTAL DRIVE, SUITE 900 ARLINGTON, VA 22202	UNRELATED	PC	OTHER GRANT INITIATIVES	1,250.
AMERICAN FOUNDATION FOR SUICIDE PREVENTION 120 WALL STREET 29TH FLOOR NEW YORK, NY 10005	UNRELATED	PC	OTHER GRANT INITIATIVES	500.
ANTHONY L. JORDAN HEALTH CENTER 82 HOLLAND STREET ROCHESTER, NY 14605	UNRELATED	PC	GRANTS - OPPORTUNITY 2014	130,153.
BISHOP SHEEN ECUMENICAL HOUSING FOUNDATIO 150 FRENCH ROAD ROCHESTER, NY 14618	UNRELATED	PC	COMMUNITY HEALTH GRANT	30,000.
BLACK PHYSICIANS NETWORK OF GREATER ROCH PO BOX 23265 ROCHESTER, NY 14692	UNRELATED	NC	COMMUNITY HEALTH GRANT	6,985.
CATHOLIC CHARITIES OF LIVINGSTON COUNTY 34 E. STATE STREET MT. MORRIS, NY 14510	UNRELATED	PC	COMMUNITY HEALTH GRANT	12,402.
CAYUGA/SENECA COMMUNITY ACTION AGENCY, INC 89 YORK STREET SUITE 1 AUBURN, NY 13021	UNRELATED	PC	COMMUNITY HEALTH GRANT	3,184.
CENTER FOR DISABILITY RIGHTS, INC. 497 STATE STREET ROCHESTER, NY 14608	UNRELATED	PC	OTHER GRANT INITIATIVES	1,000.
CENTER FOR HEALTH CARE STRATEGIES 200 AMERICAN METRO BLVD #119 TRENTON, NJ 08619	UNRELATED	PC	OTHER GRANT INITIATIVES	15,000.
CHILDREN'S INSTITUTE 274 GOODMAN ST. N ROCHESTER, NY 14607	UNRELATED	PC	GRANTS - OPPORTUNITY 2015, OTHER GRANT INITIATIVES	224,468.
<b>Total from continuation sheets</b>				<b>6,620,796.</b>

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMON GROUND HEALTH 1150 UNIVERSITY AVE, BUILDING 5 ROCHESTER, NY 14607	UNRELATED	PC	GRANTS - ADVOCACY, OTHER GRANT INITIATIVES	380,000.
CONKEY CRUISERS C/O GROUP 14621 PO BOX 77380 ROCHESTER, NY 14617	UNRELATED	PC	COMMUNITY HEALTH GRANT	3,864.
CONNECTED COMMUNITIES, INC. 410 ATLANTIC AVE BLD. #2 ROCHESTER, NY 14609	UNRELATED	PC	OTHER GRANT INITIATIVES	50,000.
CORNELL COOPERATIVE EXTENSION OF WAYNECTY 1581 ROUTE 88 NORTH NEWARK, NY 14513	UNRELATED	PC	NEIGHBORHOOD HEALTH STATUS - OTHER	195,000.
DR. WALTER COOPER ACADEMY SCHOOL PTO 353 CONGRESS AVE ROCHESTER, NY 14619	UNRELATED	PC	GRANTS - SCHOOLS	410.
EARTHWORKS INSTITUTE, INC. 185 CAROLINE STREET ROCHESTER, NY 14620	UNRELATED	PC	COMMUNITY HEALTH GRANTS	9,862.
EPISCOPAL SENIORLIFE COMMUNITIES 535 MT. HOPE AVE ROCHESTER, NY 14620	UNRELATED	PC	GRANTS - OPPORTUNITY 2016	52,640.
EUGENIO MARIA DE HOSTOS CHARTER SCHOOL 27 ZIMBRICH STREET ROCHESTER, NY 14621	UNRELATED	PC	GRANTS - SCHOOLS	118,284.
FAMILY COUNSELING SERVICE OF FINGER LAKES 671 S EXCHANGE STREET GENEVA, NY 14456	UNRELATED	PC	OTHER GRANT INITIATIVES	50,000.
GENESEE VALLEY NURSES ASSOCIATION PO BOX 92298 ROCHESTER, NY 14692	UNRELATED	PC	OTHER GRANT INTIATIVES	50,000.
<b>Total from continuation sheets</b> .....				

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GENESEE WATERWAYS CENTER, INC. 149 ELMWOOD AVE ROCHESTER, NY 14611	UNRELATED	PC	COMMUNITY HEALTH GRANTS	4,800.
GREECE CENTRAL SCHOOL DISTRICT 750 MAIDEN LANE ROCHESTER, NY 14615	UNRELATED	PC	OTHER GRANT INITIATIVES	48,728.
HEALTH VANTICS, LLC 200 CANAL VIEW BLVD SUITE 200 ROCHESTER, NY 14623	UNRELATED	PC	GRANTS - OPPORTUNITY 2015	188,244.
HICKOK CENTER FOR BRAIN INJURY, INC. 114 S UNION STREET ROCHESTER, NY 14607	UNRELATED	PC	COMMUNITY HEALTH GRANT	5,619.
HOPE HALL 1612 BUFFALO ROAD ROCHESTER, NY 14624	UNRELATED	PC	COMMUNITY HEALTH GRANT	5,700.
IBERO-AMERICAN ACTION LEAGUE, INC. 817 E MAIN STREET ROCHESTER, NY 14605	UNRELATED	PC	COMMUNITY HEALTH GRANT, OTHER GRANT INITIATIVES	60,000.
IBERO-AMERICAN DEVELOPMENT CORPORATION 954 CLIFFORD AVE ROCHESTER, NY 14621	UNRELATED	PC	COMMUNITY HEALTH GRANT, NEIGHBORHOOD HEALTH STATUS - OTHER	229,314.
INTERVOL, INC. 100 KINGS HWY S #1200 ROCHESTER, NY 14617	UNRELATED	PC	COMMUNITY HEALTH GRANT	14,900.
IRONDEQUOIT AMBULANCE 2330 NORTON STREET ROCHESTER, NY 14609	UNRELATED	PC	COMMUNITY HEALTH GRANT	12,500.
ISAIAH HOUSE 71 PRINCE STREET ROCHESTER, NY 14605	UNRELATED	PC	COMMUNITY HEALTH GRANT	25,893.
<b>Total from continuation sheets</b> .....				

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JACK AND JILL OF AMERICA, INC. 1930 17TH STREET NW WASHINGTON, DC 20009	UNRELATED	PC	OTHER GRANT INITIATIVES	5,000.
JEFFERSON FAMILY HEALTH FUND 924 JEFFERSON AVE ROCHESTER, NY 14611	UNRELATED	PC	COMMUNITY HEALTH GRANT	7,403.
JEWISH SENIOR LIFE 2021 S WINTON ROAD ROCHESTER, NY 14618	UNRELATED	PC	CLINICAL SERVICES FOR OLDER ADULTS	156,502.
JOINT HEIRS KINGDOM MINISTRIES 170 MT. READ BLVD ROCHESTER, NY 14611	UNRELATED	PC	COMMUNITY HEALTH GRANT	6,238.
JUDICIAL PROCESS COMMISSION 1921 NORTON STREET ROCHESTER, NY 14609	UNRELATED	PC	COMMUNITY HEALTH GRANT	24,871.
KEUKA COLLEGE 141 CENTRAL AVE KEUKA PARK, NY 14478	UNRELATED	PC	OTHER GRANT INITIATIVE	6,500.
KIDS THRIVE 585, INC. PO BOX 16814 ROCHESTER, NY 14612	UNRELATED	PC	COMMUNITY HEALTH GRANT	15,000.
LIVING WORD CHURCH OF GOD IN CHRIST, INC. 901 JOSEPH AVE ROCHESTER, NY 14621	UNRELATED	PC	COMMUNITY HEALTH GRANT	6,600.
LIVINGSTON COUNTY EMERGENCY MEDICAL SERVI 3360 GYPSY LANE MT. MORRIS, NY 14510	UNRELATED	PC	CLINICAL SERVICES FOR OLDER ADULTS	262,586.
LIVINGSTON COUNTY OFFICE FOR THE AGING 8 MURRAY HILL DRIVE MT. MORRIS, NY 14510	UNRELATED	PC	COMMUNITY HEALTH GRANT	5,500.
<b>Total from continuation sheets</b> .....				

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MONROE COMMUNITY COLLEGE FOUNDATION 1000 E. HENRIETTA ROAD ROCHESTER, NY 14623	UNRELATED	PC	GRANTS - COMMUNITY	382,234.
NAMI ROCHESTER 320 GOODMAN STREET N #102 ROCHESTER, NY 14607	UNRELATED	PC	COMMUNITY HEALTH GRANT	14,505.
NATIONAL KIDNEY FOUNDATION SERVING UPSTATE 731 JAMES STREET #200 SYRACUSE, NY 13203	UNRELATED	PC	OTHER GRANT INITIATIVES	20,000.
NATIVITY PREPATORY ACADEMY 15 WHALIN STREET ROCHESTER, NY 14620	UNRELATED	PC	OTHER GRANT INITIATIVES	5,000.
NEW LIFE PRESBYTERIAN CHURCH 243 ROSEDALE STREET #1 ROCHESTER, NY 14620	UNRELATED	PC	COMMUNITY HEALTH GRANT	5,030.
NEW VISIONS COMMUNITY SERVICES, INC 1270 NORTON STREET ROCHESTER, NY 14621	UNRELATED	PC	COMMUNITY HEALTH GRANT	30,000.
NORTH EAST AREA DEVELOPMENT, INC. 360 WEBSTER AVE ROCHESTER, NY 14609	UNRELATED	PC	COMMUNITY HEALTH GRANT	17,000.
ORLEANS COUNTY HEALTH DEPARTMENT 14012 NY-31 ALBION, NY 14411	UNRELATED	PC	COMMUNITY HEALTH GRANT	3,528.
PARTNERSHIP FOR ONTARIO COUNTY, INC. 5297 PARKSIDE DRIVE SUITE 307 CANANDAIGUA, NY 14424	UNRELATED	PC	COMMUNITY HEALTH GRANT	16,400.
PATRICK PLACE-A COMFORT CARE HOME 61 MAIN STREET SCOTTSVILLE, NY 14546	UNRELATED	PC	COMMUNITY HEALTH GRANT	5,000.
<b>Total from continuation sheets</b> .....				

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLUTA CANCER CENTER FOUNDATION 125 RED CREEK DRIVE #100 ROCHESTER, NY 14623	UNRELATED	PC	OTHER GRANT INITIATIVES	5,000.
REFUGEES HELPING REFUGEES 835 SOUTH AVE ROCHESTER, NY 14620	UNRELATED	PC	COMMUNITY HEALTH GRANT	25,570.
RESOLVE OF GREATER ROCHESTER, INC. PO BOX 21 FAIRPORT, NY 14450	UNRELATED	PC	COMMUNITY HEALTH GRANT	15,000.
RHYTHM SOCIETY 758 SOUTH AVE ROCHESTER, NY 14620	UNRELATED	PC	GRANTS - COMMUNITY	9,000.
RIVER FLOW SOCCER CLUB PO BOX 10061 ROCHESTER, NY 14610	UNRELATED	PC	GRANTS - COMMUNITY	25,920.
ROCHESTER CITY SCHOOL DISTRICT 131 W. BROAD STREET ROCHESTER, NY 14614	UNRELATED	PC	GRANTS - SCHOOLS	181,178.
ROCHESTER GENERAL HOSPITAL 1425 PORTLAND AVE ROCHESTER, NY 14621	UNRELATED	PC	GRANTS - OPPORTUNITY 2016	232,036.
ROCHESTER INSTITUTE OF TECHNOLOGY 1 LOMB MEMORIAL DRIVE ROCHESTER, NY 14623	UNRELATED	PC	OTHER GRANT INITIATIVES	10,000.
ROCHESTER REGIONAL HEALTH SYSTEM 100 KINGS HIGHWAY S ROCHESTER, NY 14617	UNRELATED	PC	GRANTS - OPPORTUNITY 2015, OTHER GRANT INITIATIVES	155,180.
ROCOVERY FITNESS 1035 DEWEY AVE ROCHESTER, NY 14613	UNRELATED	PC	OTHER GRANT INITIATIVES	2,000.
<b>Total from continuation sheets</b> .....				

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
S2AY RURAL HEALTH NETWORK PO BOX 97 CORNING, NY 14830	UNRELATED	PC	NEIGHBORHOOD HEALTH STATUS - OTHER	395,414.
SOCIETY FOR PROTECTION & CARE OF CHILDREN 148 S FITZHUGH STREET ROCHESTER, NY 14608	UNRELATED	PC	GRANTS - OPPORTUNITY 2016	194,514.
ST. JOHN'S SENIOR SERVICES 150 HIGHLAND AVE ROCHESTER, NY 14620	UNRELATED	PC	CLINICAL SERVICES FOR OLDER ADULTS	133,711.
ST. JOSEPH SCHOOL 39 GEBHARDT ROAD PENFIELD, NY 14526	UNRELATED	PC	COMMUNITY HEALTH GRANT	9,367.
ST. JOSEPH'S NEIGHBORHOOD CENTER 417 SOUTH AVE ROCHESTER, NY 14620	UNRELATED	PC	COMMUNITY HEALTH GRANT, GRANTS - OPPORTUNITY 2015, OTHER GRANT INITATIVES	132,007.
ST. KATERI 445 KINGS HWY S ROCHESTER, NY 14617	UNRELATED	PC	COMMUNITY HEALTH GRANT	1,834.
ST. PETER'S KITCHEN INC 681 BROWN STREET ROCHESTER, NY 14611	UNRELATED	PC	COMMUNITY HEALTH GRANT	13,312.
ST. STEPHEN'S EPISCOPAL CHURCH 350 CHILI AVE ROCHESTER, NY 14611	UNRELATED	PC	COMMUNITY HEALTH GRANT	6,914.
TERESA HOUSE INC. 21 HIGHLAND ROAD GENESEO, NY 14454	UNRELATED	PC	COMMUNITY HEALTH GRANT	11,027.
THE CENTER FOR YOUTH SERVICES, INC. 905 MONROE AVE ROCHESTER, NY 14620	UNRELATED	PC	OTHER GRANT INITIATIVES	49,824.
<b>Total from continuation sheets</b> .....				



**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TOWN OF EAST BLOOMFIELD PO BOX 85 EAST BLOOMFIELD, NY 14443	UNRELATED	PC	COMMUNITY HEALTH GRANT	7,500.
TOWN OF LODI 8440 MAIN STREET LODI, NY 14860	UNRELATED	PC	COMMUNITY HEALTH GRANT	8,442.
TOWN OF SAVANNAH 1564 N MAIN STREET SAVANNAH, NY 13146	UNRELATED	PC	COMMUNITY HEALTH GRANT	20,000.
TRILLIUM HEALTH 259 MONROE AVE ROCHESTER, NY 14607	UNRELATED	PC	GRANTS - OPPORTUNITY 2014	140,000.
TRINITY INTER-FAITH CHURCH, INC. 26 FORBES STREET ROCHESTER, NY 14611	UNRELATED	PC	COMMUNITY HEALTH GRANT	12,000.
UNCOMMON SCHOOLS C/O RHF 826 BROADWAY 9TH FLOOR NEW YORK, NY 10003	UNRELATED	PC	OTHER GRANT INITIATIVES	2,500.
UNITED WAY OF GREATER ROCHESTER 75 COLLEGE AVE ROCHESTER, NY 14607	UNRELATED	PC	OTHER GRANT INITIATIVES	27,500.
UNITY HOSPITAL OF ROCHESTER 81 LAKE AVE ROCHESTER, NY 14608	UNRELATED	PC	GRANTS - OPPORTUNITY 2015	130,431.
UNIVERSITY OF ROCHESTER 252 ELMWOOD AVE ROCHESTER, NY 14642	UNRELATED	PC	CLINICAL SERVICES FOR OLDER ADULTS, GRANTS - OPPORTUNITY 2015, OTHER GRANT INITIATIVES	800,665.
UNIVERSITY OF ROCHESTER MEDICAL CENTER 601 ELMWOOD AVE ROCHESTER, NY 14642	UNRELATED	PC	GRANTS - OPPORTUNITY 2016	291,748.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF ROCHESTER-PEDIATRICS 150 CRITTENDEN BLVD. ROCHESTER, NY 14642	UNRELATED	PC	GRANTS - OPPORTUNITY 2014	173,450.
URBAN LEAGUE OF ROCHESTER, N.Y., INC. 265 N CLINTON AVE ROCHESTER, NY 14605	UNRELATED	PC	OTHER GRANT INITIATIVES, COMMUNITY HEALTH GRANT	42,000.
URMC CENTER FOR COMMUNITY HEALTH 601 ELMWOOD AVE ROCHESTER, NY 14642	UNRELATED	PC	GRANTS - OPPORTUNITY 2015	160,503.
US INSTITUTE FOR VETERANS INITIATIVES 988 EDGEMERE DRIVE ROCHESTER, NY 14612	UNRELATED	PC	COMMUNITY HEALTH GRANT	25,000.
VISITING NURSE FOUNDATION 2180 EMPIRE BLVD. WEBSTER, NY 14580	UNRELATED	PC	OTHER GRANT INITIATIVES	5,000.
WILSON COMMENCEMENT PARK 251 JOSEPH AVE ROCHESTER, NY 14605	UNRELATED	PC	OTHER GRANT INITIATIVES	500.
WNY WRECKERS 1222 WATERBROOK CROSSING WEBSTER, NY 14580	UNRELATED	PC	COMMUNITY HEALTH GRANT	5,000.
YATES COUNTY COMMUNITY SERVICES 417 LIBERTY STREET SUITE 2033 PENN YAN, NY 14527	UNRELATED	PC	COMMUNITY HEALTH GRANT	11,155.
YMCA OF GREATER ROCHESTER 444 E MAIN STREET ROCHESTER, NY 14604	UNRELATED	PC	GRANTS - COMMUNITY, OTHER GRANT INITIATIVES	222,997.
<b>Total from continuation sheets</b> .....				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ABERDEEN EMERGING MARKETS SMALLER COMPANIES FUND	152,191.	0.	152,191.	170,401.	
AETHER REAL ASSETS II LP	0.	0.	0.	11,714.	
AETHER REAL ASSETS III LP	0.	0.	0.	5,099.	
AG REALTY FUND VIII LP	0.	0.	0.	6,158.	
AG REALTY FUND VIII LP	0.	0.	0.	4,500.	
AMBERBROOK V LLC	0.	0.	0.	4,665.	
AMBERBROOK V LLC	0.	0.	0.	541.	
APOLLO EUROPEAN FUND II	105,650.	0.	105,650.	105,650.	
ARTISAN GLOBAL OPPORTUNITIES	27,201.	0.	27,201.	27,201.	
ASHMORE EMERGING MARKET DEBT STRATEGY	743,342.	0.	743,342.	743,342.	
ATLANTA CAPITAL (RX-54)	55,923.	0.	55,923.	55,923.	
CARLYLE REALTY PARTNERS VI LP	7,629.	0.	7,629.	864.	
DOUBLE LINE TOTAL RETURN (RX-55)	313,988.	0.	313,988.	313,988.	
DWS GLOBAL COMMODITIES QP TRUST	0.	0.	0.	30,780.	
FR XII-A ALPHA AIV, LP	0.	0.	0.	54.	
FR XII-A BRAVO AIV, LP	0.	0.	0.	50.	
FR XII-A CHARLIE AIV, LP	0.	0.	0.	9,939.	
FR XII-A DELTA AIV, LP	0.	0.	0.	322.	
FR XII-A PARALLEL VEHICLE, LP	12,240.	0.	12,240.	12,876.	
FR XII-A PBF AIV, LP	0.	0.	0.	165.	
FR XII-A RHINO, LP	0.	0.	0.	266.	
GMO GAAR	333,628.	0.	333,628.	333,628.	
GROSVENOR INSTITUTIONAL PARTNERS, LP	0.	0.	0.	11,637.	

HIGHBAR PARTNERS III LP	0.	0.	0.	1,624.
INDUSTRY VENTURES FUND VI, LP	0.	0.	0.	28.
INDUSTRY VENTURES SECONDARY VII LP	0.	0.	0.	769.
LOOMIS FIXED INCOME FUND	171,882.	0.	171,882.	171,882.
MONROE CAPITAL	669,185.	0.	669,185.	0.
NEWSTONE CAPITAL PARTNERS II, LP	222,728.	0.	222,728.	226,098.
PARK SQUARE CAPITAL CREDIT OPPORTUNITIES II	194,689.	0.	194,689.	9,040.
PERELLA WEINBERG PARTNERS ABV OPPORTUNITY	0.	0.	0.	148,218.
PERMAL FIXED INCOME HOLDINGS	162,246.	0.	162,246.	162,246.
PINNACLE NATURAL RESOURCES, LP	0.	0.	0.	5,890.
PRIVATE ADVISORS SMALL COMPANY BUYOUT FUND V, LP	0.	0.	0.	15,873.
PRIVATE ADVISORS SMALL COMPANY BUYOUT FUND V, LP	0.	0.	0.	9,289.
PRIVATE ADVISORS SMALL COMPANY COINVESTMENT FUND	0.	0.	0.	14.
PRIVATE ADVISORS SMALL COMPANY COINVESTMENT FUND	0.	0.	0.	11,958.
RIVERSTONE	275,208.	0.	275,208.	275,208.
ROBECO PREMIUM EQUITY FUND (RX-52)	235,963.	0.	235,963.	235,963.
RX-50 SSGA PRIME MONEY MARKET	12,103.	0.	12,103.	12,103.
RX-51 SSGA OPERATING MONEY MARKET	3,413.	0.	3,413.	3,413.
SECONDARY OPPORTUNITIES FUND III LP	0.	0.	0.	31,742.
SECONDARY OPPORTUNITIES FUND III LP	0.	0.	0.	5,551.
SIGULER GUFF DISTRESSED OPPORTUNITIES FUND	0.	0.	0.	150,864.
SIGULER GUFF DISTRESSED OPPORTUNITIES FUND	0.	0.	0.	22,444.

SIGULER GUFF DISTRESSED OPPORTUNITIES FUND	0.	0.	0.	41,638.
SIGULER GUFF DISTRESSED OPPORTUNITIES FUND	0.	0.	0.	8,940.
SIGULER GUFF DISTRESSED REAL ESTATE	325,356.	0.	325,356.	23,103.
SIGULER GUFF DISTRESSED REAL ESTATE	0.	0.	0.	6,126.
SIGULER GUFF PRIVATE EQUITY DISTRESSED FUND IV	4,358.	0.	4,358.	0.
SIGULER GUFF PRIVATE EQUITY III	464.	0.	464.	0.
SILCHESTER INTERNATIONAL VALUE	723,142.	0.	723,142.	763,462.
STATE STREET BANK AND TRUST COMPANY	119,651.	0.	119,651.	34,480.
STATE STREET HEDGE INTL DEV EQ INDEX	89,977.	0.	89,977.	89,977.
THE EMERGING MARKETS COUNTRY FUND (CITY OF WARBURG PINCUS (EUROPA) PRIVATE EQUITY XI CAYMAN	0.	0.	0.	119,982.
WARBURG PINCUS PRIVATE EQUITY (E&P) XI-A, LP	19,170.	0.	19,170.	15,653.
WARBURG PINCUS PRIVATE EQUITY (LEXINGTON) XI-A	0.	0.	0.	324.
WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL) LP	0.	0.	0.	22.
WARBURG PINCUS PRIVATE EQUITY XI (UNIVERSAL) LP	0.	0.	0.	87.
WARBURG PINCUS PRIVATE EQUITY XI, LP	0.	0.	0.	10,631.
WELLS (RX-53)	121,789.	0.	121,789.	33,459.
TO PART I, LINE 4	5,103,116.	0.	5,103,116.	121,789.
				4,619,653.

FORM 990-PF	OTHER INCOME		STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
RETURN OF UNUSED GRANTS	1,541.	0.		
TOTAL TO FORM 990-PF, PART I, LINE 11	1,541.	0.		

FORM 990-PF	LEGAL FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	30,179.	0.		30,179.	
TO FM 990-PF, PG 1, LN 16A	30,179.	0.		30,179.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	23,710.	0.		23,710.	
TO FORM 990-PF, PG 1, LN 16B	23,710.	0.		23,710.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONSULTING & OUTSIDE SERVICES	150,117.	0.		150,117.	
TO FORM 990-PF, PG 1, LN 16C	150,117.	0.		150,117.	

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	50,617.	0.		-26,439.
FOREIGN TAXES PAID	0.	76,479.		0.
EXCISE TAXES	129,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	179,617.	76,479.		-26,439.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	47,143.	0.		47,143.
REPAIRS & MAINTENANCE	11,652.	0.		11,652.
INSURANCE	22,941.	0.		22,941.
TELEPHONE	22,107.	0.		22,107.
POSTAGE & DELIVERY	5,957.	0.		5,957.
FORMS & SUPPLIES	17,073.	0.		17,073.
INVESTMENT FEES	3,110,234.	2,679,592.		0.
PROGRAM EXPENSES	3,538,095.	0.		3,538,095.
TEMPORARY HELP	22,533.	0.		22,533.
EQUIPMENT RENTAL	11,955.	0.		11,955.
LICENSE FEES	13,278.	0.		13,278.
NON-CAPITAL IT EXPENDITURES	5,333.	0.		5,333.
OTHER ACCRUAL TO CASH ADJUSTMENT	0.	0.		122,002.
TO FORM 990-PF, PG 1, LN 23	6,828,301.	2,679,592.		3,840,069.

FORM 990-PF	CORPORATE STOCK		STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
EQUITY MUTUAL FUNDS	108,271,829.	108,271,829.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	108,271,829.	108,271,829.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ALTERNATIVE INVESTMENTS	COST	119,767,939.	119,767,939.
TOTAL TO FORM 990-PF, PART II, LINE 13		119,767,939.	119,767,939.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
OTHER CURRENT LIABILITIES	30,395.	153,766.	
TOTAL TO FORM 990-PF, PART II, LINE 22	30,395.	153,766.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	11
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JOHN URBAN 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	PRESIDENT & CEO 40.00	290,668.	39,837.	0.
BRIDGETTE WIEFLING, MD 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
ESSIE CALHOUN-MCDAVID 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
G. JEAN HOWARD-CHERUBIM 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
JAMES H. WATTERS 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.



CARLOS R. ORTIZ, MD 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
LOUIS J. PAPA, MD 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
CHRISTINE WAGNER, SSJ, PHD 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
THOMAS S. RICHARDS 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
DENNIS M. RICHARDSON 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
GEOFFREY ROSENBERGER 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
CONNIE O. WALKER 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
THOMAS WESLEY 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	VP & CHIEF FINANCIAL OFFICER 40.00	151,500.	31,442.	0.
JAMES GOULD 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
ROBERT X. OPPENHEIMER 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER, EX-OFFICIO 1.25	0.	0.	0.
ERIKA AUGUSTINE 150 STATE STREET, SUITE 100 ROCHESTER, NY 14614	BOARD MEMBER 1.25	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		<u>442,168.</u>	<u>71,279.</u>	<u>0.</u>



## GENERAL EXPLANATION

STATEMENT 13

## FORM/LINE IDENTIFIER

990-PF PAGE 4, LINE 6A

## EXPLANATION:

THE \$30,000 OVERPAYMENT APPLIED FROM THE 2015 990-PF WILL BE APPLIED TO THE 2016 FORM 990-T PAGE 2, LINE 45A.

THE 2ND QUARTER ESTIMATED TAX PAYMENT WILL BE SPLIT BETWEEN TO 990-PF AND 990-T AS FOLLOWS:

990-PF	\$59,739
990-T	\$10,261
TOTAL 2ND QUARTER ESTIMATED TAX PAYMENT	\$70,000

# TAX RETURN FILING INSTRUCTIONS

FORM 990-T

FOR THE YEAR ENDING  
December 31, 2016

<b>Prepared for</b>	Greater Rochester Health Foundation 150 State Street No. 100 Rochester, NY 14614
<b>Prepared by</b>	DeJoy, Knauf & Blood, LLP 280 East Broad Street, Suite 300 Rochester, NY 14604
<b>Amount due or refund</b>	No amount is due.
<b>Make check payable to</b>	No amount is due.
<b>Mail tax return and check (if applicable) to</b>	Department of the Treasury Internal Revenue Service Center Ogden, UT 84201-0027
<b>Return must be mailed on or before</b>	November 15, 2017
<b>Special Instructions</b>	The return should be signed and dated.

**Exempt Organization Business Income Tax Return**  
(and proxy tax under section 6033(e))

**2016**

For calendar year 2016 or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

▶ Information about Form 990-T and its instructions is available at [www.irs.gov/form990t](http://www.irs.gov/form990t).

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Department of the Treasury  
Internal Revenue Service

Open to Public Inspection for  
501(c)(3) Organizations Only

<p><b>A</b> <input type="checkbox"/> Check box if address changed</p> <p><b>B</b> Exempt under section  <input checked="" type="checkbox"/> 501(c)(3) )  <input type="checkbox"/> 408(e) <input type="checkbox"/> 220(e)  <input type="checkbox"/> 408A <input type="checkbox"/> 530(a)  <input type="checkbox"/> 529(a)</p>	<p>Print or Type</p>	<p>Name of organization ( <input type="checkbox"/> Check box if name changed and see instructions.)  <b>GREATER ROCHESTER HEALTH FOUNDATION</b></p> <p>Number, street, and room or suite no. If a P.O. box, see instructions.  <b>150 STATE STREET, NO. 100</b></p> <p>City or town, state or province, country, and ZIP or foreign postal code  <b>ROCHESTER, NY 14614</b></p>	<p><b>D</b> Employer identification number (Employees' trust, see instructions.)  <b>13-4301222</b></p> <p><b>E</b> Unrelated business activity codes (See instructions.)  <b>900099</b></p>
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**C** Book value of all assets at end of year: **228,550,611.**

**F** Group exemption number (See instructions.) ▶ \_\_\_\_\_

**G** Check organization type ▶  501(c) corporation  501(c) trust  401(a) trust  Other trust

**H** Describe the organization's primary unrelated business activity. ▶ **PASSTHROUGH INVESTMENTS**

**I** During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group? ▶  Yes  No  
 If "Yes," enter the name and identifying number of the parent corporation. ▶ \_\_\_\_\_

**J** The books are in care of ▶ **THOMAS WESLEY, CFO** Telephone number ▶ **585-258-1799**

Part I Unrelated Trade or Business Income	(A) Income	(B) Expenses	(C) Net
<b>1a</b> Gross receipts or sales			
<b>b</b> Less returns and allowances			
<b>c</b> Balance ▶	<b>1c</b>		
<b>2</b> Cost of goods sold (Schedule A, line 7)	<b>2</b>		
<b>3</b> Gross profit. Subtract line 2 from line 1c	<b>3</b>		
<b>4a</b> Capital gain net income (attach Schedule D)	<b>4a</b>		
<b>b</b> Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	<b>4b</b>		
<b>c</b> Capital loss deduction for trusts	<b>4c</b>		
<b>5</b> Income (loss) from partnerships and S corporations (attach statement)	<b>5</b>		
<b>6</b> Rent income (Schedule C)	<b>6</b>		
<b>7</b> Unrelated debt-financed income (Schedule E)	<b>7</b>		
<b>8</b> Interest, annuities, royalties, and rents from controlled organizations (Sch. F)...	<b>8</b>		
<b>9</b> Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)	<b>9</b>		
<b>10</b> Exploited exempt activity income (Schedule I)	<b>10</b>		
<b>11</b> Advertising income (Schedule J)	<b>11</b>		
<b>12</b> Other income (See instructions; attach schedule)	<b>12</b>		
<b>13 Total.</b> Combine lines 3 through 12	<b>13</b>	<b>147,182.</b>	<b>147,182.</b>

**Part II Deductions Not Taken Elsewhere** (See instructions for limitations on deductions.)  
 (Except for contributions, deductions must be directly connected with the unrelated business income.)

<b>14</b> Compensation of officers, directors, and trustees (Schedule K)	<b>14</b>	
<b>15</b> Salaries and wages	<b>15</b>	
<b>16</b> Repairs and maintenance	<b>16</b>	
<b>17</b> Bad debts	<b>17</b>	
<b>18</b> Interest (attach schedule)	<b>18</b>	
<b>19</b> Taxes and licenses	<b>19</b>	
<b>20</b> Charitable contributions (See instructions for limitation rules)	<b>20</b>	
<b>21</b> Depreciation (attach Form 4562)	<b>21</b>	
<b>22</b> Less depreciation claimed on Schedule A and elsewhere on return	<b>22a</b>	<b>22b</b>
<b>23</b> Depletion	<b>23</b>	
<b>24</b> Contributions to deferred compensation plans	<b>24</b>	
<b>25</b> Employee benefit programs	<b>25</b>	
<b>26</b> Excess exempt expenses (Schedule I)	<b>26</b>	
<b>27</b> Excess readership costs (Schedule J)	<b>27</b>	
<b>28</b> Other deductions (attach schedule)	<b>28</b>	
<b>29 Total deductions.</b> Add lines 14 through 28	<b>29</b>	<b>0.</b>
<b>30</b> Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13	<b>30</b>	<b>147,182.</b>
<b>31</b> Net operating loss deduction (limited to the amount on line 30)	<b>31</b>	
<b>32</b> Unrelated business taxable income before specific deduction. Subtract line 31 from line 30	<b>32</b>	<b>147,182.</b>
<b>33</b> Specific deduction (Generally \$1,000, but see line 33 instructions for exceptions)	<b>33</b>	<b>1,000.</b>
<b>34 Unrelated business taxable income.</b> Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller of zero or line 32	<b>34</b>	<b>146,182.</b>

<b>Part III Tax Computation</b>	
<b>35 Organizations Taxable as Corporations.</b> See instructions for tax computation. Controlled group members (sections 1561 and 1563) check here <input type="checkbox"/> See instructions and:	
<b>a</b> Enter your share of the \$50,000, \$25,000, and \$9,925,000 taxable income brackets (in that order): (1) \$ _____ (2) \$ _____ (3) \$ _____	
<b>b</b> Enter organization's share of: (1) Additional 5% tax (not more than \$11,750) \$ _____ (2) Additional 3% tax (not more than \$100,000) \$ _____	
<b>c</b> Income tax on the amount on line 34	<b>35c</b> 40,261.
<b>36 Trusts Taxable at Trust Rates.</b> See instructions for tax computation. Income tax on the amount on line 34 from: <input type="checkbox"/> Tax rate schedule or <input type="checkbox"/> Schedule D (Form 1041)	<b>36</b>
<b>37 Proxy tax.</b> See instructions	<b>37</b>
<b>38 Alternative minimum tax</b>	<b>38</b>
<b>39 Tax on Non-Compliant Facility Income.</b> See instructions	<b>39</b>
<b>40 Total.</b> Add lines 37, 38 and 39 to line 35c or 36, whichever applies	<b>40</b> 40,261.

<b>Part IV Tax and Payments</b>	
<b>41a</b> Foreign tax credit (corporations attach Form 1118; trusts attach Form 1116)	<b>41a</b>
<b>b</b> Other credits (see instructions)	<b>41b</b>
<b>c</b> General business credit. Attach Form 3800	<b>41c</b>
<b>d</b> Credit for prior year minimum tax (attach Form 8801 or 8827)	<b>41d</b>
<b>e Total credits.</b> Add lines 41a through 41d	<b>41e</b>
<b>42</b> Subtract line 41e from line 40	<b>42</b> 40,261.
<b>43</b> Other taxes. Check if from: <input type="checkbox"/> Form 4255 <input type="checkbox"/> Form 8611 <input type="checkbox"/> Form 8697 <input type="checkbox"/> Form 8866 <input type="checkbox"/> Other (attach schedule)	<b>43</b>
<b>44 Total tax.</b> Add lines 42 and 43	<b>44</b> 40,261.
<b>45a</b> Payments: A 2015 overpayment credited to 2016	<b>45a</b> 30,000.
<b>b</b> 2016 estimated tax payments	<b>45b</b> 10,261.
<b>c</b> Tax deposited with Form 8868	<b>45c</b>
<b>d</b> Foreign organizations: Tax paid or withheld at source (see instructions)	<b>45d</b>
<b>e</b> Backup withholding (see instructions)	<b>45e</b>
<b>f</b> Credit for small employer health insurance premiums (Attach Form 8941)	<b>45f</b>
<b>g</b> Other credits and payments: <input type="checkbox"/> Form 2439 <input type="checkbox"/> Form 4136 <input type="checkbox"/> Other _____ Total	<b>45g</b>
<b>46 Total payments.</b> Add lines 45a through 45g	<b>46</b> 40,261.
<b>47</b> Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>	<b>47</b>
<b>48 Tax due.</b> If line 46 is less than the total of lines 44 and 47, enter amount owed	<b>48</b> 0.
<b>49 Overpayment.</b> If line 46 is larger than the total of lines 44 and 47, enter amount overpaid	<b>49</b> 0.
<b>50</b> Enter the amount of line 49 you want: Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	<b>50</b>

<b>Part V Statements Regarding Certain Activities and Other Information</b> (see instructions)	
<b>51</b> At any time during the 2016 calendar year, did the organization have an interest in or a signature or other authority over a financial account (bank, securities, or other) in a foreign country? If YES, the organization may have to file FinCEN Form 114, Report of Foreign Bank and Financial Accounts. If YES, enter the name of the foreign country here	Yes No <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
<b>52</b> During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If YES, see instructions for other forms the organization may have to file.	<input type="checkbox"/> <input checked="" type="checkbox"/>
<b>53</b> Enter the amount of tax-exempt interest received or accrued during the tax year \$	<input type="checkbox"/> <input type="checkbox"/>

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer _____	Date _____	CEO _____	Title _____	May the IRS discuss this return with the preparer shown below (see instructions)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	<b>Paid Preparer Use Only</b>	Print/Type preparer's name MORGAN N HOPKINS	Preparer's signature MORGAN N HOPKINS	Date 11/14/17	
	Firm's name <b>▶ DEJOY, KNAUF &amp; BLOOD, LLP</b>			Firm's EIN <b>▶ 16-1375790</b>	
	Firm's address <b>▶ 280 EAST BROAD STREET, SUITE 300 ROCHESTER, NY 14604</b>			Phone no. <b>585-546-1840</b>	

**Schedule A - Cost of Goods Sold.** Enter method of inventory valuation ► **N/A**

1	Inventory at beginning of year .....	1		6	Inventory at end of year .....	6			
2	Purchases .....	2		7	<b>Cost of goods sold.</b> Subtract line 6 from line 5. Enter here and in Part I, line 2 .....				
3	Cost of labor .....	3		7					
4a	Additional section 263A costs (attach schedule) .....	4a		8	Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization? .....			Yes	No
b	Other costs (attach schedule) .....	4b							
5	<b>Total.</b> Add lines 1 through 4b .....	5							

**Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property)**

(see instructions)

1. Description of property

(1)	
(2)	
(3)	
(4)	

2. Rent received or accrued

(a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%)	(b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income)	3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule)
(1)		
(2)		
(3)		
(4)		
Total	0.	Total 0.

(c) **Total income.** Add totals of columns 2(a) and 2(b). Enter here and on page 1, Part I, line 6, column (A) .....

(b) **Total deductions.** Enter here and on page 1, Part I, line 6, column (B) ... 0.

**Schedule E - Unrelated Debt-Financed Income** (see instructions)

1. Description of debt-financed property	2. Gross income from or allocable to debt-financed property	3. Deductions directly connected with or allocable to debt-financed property		
		(a) Straight line depreciation (attach schedule)	(b) Other deductions (attach schedule)	
(1)				
(2)				
(3)				
(4)				
4. Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	5. Average adjusted basis of or allocable to debt-financed property (attach schedule)	6. Column 4 divided by column 5	7. Gross income reportable (column 2 x column 6)	8. Allocable deductions (column 6 x total of columns 3(a) and 3(b))
(1)		%		
(2)		%		
(3)		%		
(4)		%		
<b>Totals</b> .....			Enter here and on page 1, Part I, line 7, column (A). 0.	Enter here and on page 1, Part I, line 7, column (B). 0.
<b>Total dividends-received deductions</b> included in column 8 .....				0.

**Schedule F - Interest, Annuities, Royalties, and Rents From Controlled Organizations** (see instructions)

1. Name of controlled organization	2. Employer identification number	Exempt Controlled Organizations			
		3. Net unrelated income (loss) (see instructions)	4. Total of specified payments made	5. Part of column 4 that is included in the controlling organization's gross income	6. Deductions directly connected with income in column 5
(1)					
(2)					
(3)					
(4)					

**Nonexempt Controlled Organizations**

7. Taxable income	8. Net unrelated income (loss) (see instructions)	9. Total of specified payments made	10. Part of column 9 that is included in the controlling organization's gross income	11. Deductions directly connected with income in column 10
(1)				
(2)				
(3)				
(4)				
			Add columns 5 and 10. Enter here and on page 1, Part I, line 8, column (A).	Add columns 6 and 11. Enter here and on page 1, Part I, line 8, column (B).
<b>Totals</b> .....			0.	0.

**Schedule G - Investment Income of a Section 501(c)(7), (9), or (17) Organization** (see instructions)

1. Description of income	2. Amount of income	3. Deductions directly connected (attach schedule)	4. Set-asides (attach schedule)	5. Total deductions and set-asides (col. 3 plus col. 4)
(1)				
(2)				
(3)				
(4)				
		Enter here and on page 1, Part I, line 9, column (A).		Enter here and on page 1, Part I, line 9, column (B).
<b>Totals</b> .....		0.		0.

**Schedule I - Exploited Exempt Activity Income, Other Than Advertising Income** (see instructions)

1. Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expenses directly connected with production of unrelated business income	4. Net income (loss) from unrelated trade or business (column 2 minus column 3). If a gain, compute cols. 5 through 7.	5. Gross income from activity that is not unrelated business income	6. Expenses attributable to column 5	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
	Enter here and on page 1, Part I, line 10, col. (A).	Enter here and on page 1, Part I, line 10, col. (B).				Enter here and on page 1, Part II, line 26.
<b>Totals</b> .....	0.	0.				0.

**Schedule J - Advertising Income** (see instructions)

**Part I Income From Periodicals Reported on a Consolidated Basis**

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
<b>Totals</b> (carry to Part II, line (5)) .....	0.	0.				0.



**Part II** **Income From Periodicals Reported on a Separate Basis** (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis.)

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
<b>Totals from Part I</b> .....	<b>0.</b>	<b>0.</b>				<b>0.</b>
<b>Totals, Part II (lines 1-5)</b> .....	Enter here and on page 1, Part I, line 11, col. (A). <b>0.</b>	Enter here and on page 1, Part I, line 11, col. (B). <b>0.</b>				Enter here and on page 1, Part II, line 27. <b>0.</b>

**Schedule K - Compensation of Officers, Directors, and Trustees** (see instructions)

1. Name	2. Title	3. Percent of time devoted to business	4. Compensation attributable to unrelated business
(1)		%	
(2)		%	
(3)		%	
(4)		%	
<b>Total.</b> Enter here and on page 1, Part II, line 14 .....			<b>0.</b>

**Alternative Minimum Tax - Corporations**

▶ Attach to the corporation's tax return.

▶ Information about Form 4626 and its separate instructions is at [www.irs.gov/form4626](http://www.irs.gov/form4626).

**2016**

Name <b>GREATER ROCHESTER HEALTH FOUNDATION</b>		Employer identification number <b>13-4301222</b>
<b>Note:</b> See the instructions to find out if the corporation is a small corporation exempt from the alternative minimum tax (AMT) under section 55(e).		
1	Taxable income or (loss) before net operating loss deduction	<b>146,182.</b>
2	<b>Adjustments and preferences:</b>	
a	Depreciation of post-1986 property	
b	Amortization of certified pollution control facilities	
c	Amortization of mining exploration and development costs	
d	Amortization of circulation expenditures (personal holding companies only)	
e	Adjusted gain or loss	
f	Long-term contracts	
g	Merchant marine capital construction funds	
h	Section 833(b) deduction (Blue Cross, Blue Shield, and similar type organizations only)	
i	Tax shelter farm activities (personal service corporations only)	
j	Passive activities (closely held corporations and personal service corporations only)	
k	Loss limitations	
l	Depletion	
m	Tax-exempt interest income from specified private activity bonds	
n	Intangible drilling costs	
o	Other adjustments and preferences	
3	Pre-adjustment alternative minimum taxable income (AMTI). Combine lines 1 through 2o	<b>146,182.</b>
4	<b>Adjusted current earnings (ACE) adjustment:</b>	
a	ACE from line 10 of the ACE worksheet in the instructions	<b>146,182.</b>
b	Subtract line 3 from line 4a. If line 3 exceeds line 4a, enter the difference as a negative amount. See instructions	<b>0.</b>
c	Multiply line 4b by 75% (0.75). Enter the result as a positive amount	
d	Enter the excess, if any, of the corporation's total increases in AMTI from prior year ACE adjustments over its total reductions in AMTI from prior year ACE adjustments. See instructions. <b>Note:</b> You <b>must</b> enter an amount on line 4d (even if line 4b is positive)	
e	ACE adjustment. <ul style="list-style-type: none"> <li>• If line 4b is zero or more, enter the amount from line 4c</li> <li>• If line 4b is less than zero, enter the <b>smaller</b> of line 4c or line 4d as a negative amount</li> </ul>	<b>0.</b>
5	Combine lines 3 and 4e. If zero or less, stop here; the corporation does not owe any AMT	<b>146,182.</b>
6	Alternative tax net operating loss deduction. See instructions	
7	<b>Alternative minimum taxable income.</b> Subtract line 6 from line 5. If the corporation held a residual interest in a REMIC, see instructions	<b>146,182.</b>
8	<b>Exemption phase-out</b> (if line 7 is \$310,000 or more, skip lines 8a and 8b and enter -0- on line 8c):	
a	Subtract \$150,000 from line 7 (if completing this line for a member of a controlled group, see instructions). If zero or less, enter -0-	<b>0.</b>
b	Multiply line 8a by 25% (0.25)	<b>0.</b>
c	Exemption. Subtract line 8b from \$40,000 (if completing this line for a member of a controlled group, see instructions). If zero or less, enter -0-	<b>40,000.</b>
9	Subtract line 8c from line 7. If zero or less, enter -0-	<b>106,182.</b>
10	Multiply line 9 by 20% (0.20)	<b>21,236.</b>
11	Alternative minimum tax foreign tax credit (AMTFTC). See instructions	
12	Tentative minimum tax. Subtract line 11 from line 10	<b>21,236.</b>
13	Regular tax liability before applying all credits except the foreign tax credit	<b>40,261.</b>
14	<b>Alternative minimum tax.</b> Subtract line 13 from line 12. If zero or less, enter -0-. Enter here and on Form 1120, Schedule J, line 3, or the appropriate line of the corporation's income tax return	<b>0.</b>

JWA For Paperwork Reduction Act Notice, see separate instructions.

Form 4626 (2016)

**Adjusted Current Earnings (ACE) Worksheet**

▶ See ACE Worksheet Instructions.

1 Pre-adjustment AMTI. Enter the amount from line 3 of Form 4626 .....		1	146,182.
2 ACE depreciation adjustment:			
a	AMT depreciation .....	2a	
b ACE depreciation:			
(1)	Post-1993 property .....	2b(1)	
(2)	Post-1989, pre-1994 property .....	2b(2)	
(3)	Pre-1990 MACRS property .....	2b(3)	
(4)	Pre-1990 original ACRS property .....	2b(4)	
(5)	Property described in sections 168(f)(1) through (4) .....	2b(5)	
(6)	Other property .....	2b(6)	
(7)	Total ACE depreciation. Add lines 2b(1) through 2b(6) .....	2b(7)	
c ACE depreciation adjustment. Subtract line 2b(7) from line 2a .....		2c	
3 Inclusion in ACE of items included in earnings and profits (E&P):			
a	Tax-exempt interest income .....	3a	
b	Death benefits from life insurance contracts .....	3b	
c	All other distributions from life insurance contracts (including surrenders) .....	3c	
d	Inside buildup of undistributed income in life insurance contracts .....	3d	
e	Other items (see Regulations sections 1.56(g)-1(c)(6)(iii) through (ix) for a partial list) .....	3e	
f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e .....		3f	
4 Disallowance of items not deductible from E&P:			
a	Certain dividends received .....	4a	
b	Dividends paid on certain preferred stock of public utilities that are deductible under section 247 (as affected by P.L. 113-295, Div. A, section 221(a)(41)(A), Dec. 19, 2014, 128 Stat. 4043) .....	4b	
c	Dividends paid to an ESOP that are deductible under section 404(k) .....	4c	
d	Nonpatronage dividends that are paid and deductible under section 1382(c) .....	4d	
e	Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list) .....	4e	
f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e .....		4f	
5 Other adjustments based on rules for figuring E&P:			
a	Intangible drilling costs .....	5a	
b	Circulation expenditures .....	5b	
c	Organizational expenditures .....	5c	
d	LIFO inventory adjustments .....	5d	
e	Installment sales .....	5e	
f Total other E&P adjustments. Combine lines 5a through 5e .....		5f	
6 Disallowance of loss on exchange of debt pools .....		6	
7 Acquisition expenses of life insurance companies for qualified foreign contracts .....		7	
8 Depletion .....		8	
9 Basis adjustments in determining gain or loss from sale or exchange of pre-1994 property .....		9	
10 <b>Adjusted current earnings.</b> Combine lines 1, 2c, 3f, 4f, and 5f through 9. Enter the result here and on line 4a of Form 4626 .....		10	146,182.

## FOOTNOTES

STATEMENT 14

THE \$30,000 OVERPAYMENT APPLIED FROM THE 2015 990-PF WILL BE APPLIED TO THE 2016 FORM 990-T PAGE 2, LINE 45A.

THE 2ND QUARTER ESTIMATED TAX PAYMENT WILL BE SPLIT BETWEEN TO 990-PF AND 990-T AS FOLLOWS:

990-PF	59,739.
990-T	10,261.
TOTAL 2ND QUARTER ESTIMATED TAX PAYMENT	70,000.

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FORM 990-T INCOME (LOSS) FROM PARTNERSHIPS STATEMENT 15

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PARTNERSHIP NAME	GROSS INCOME	DEDUCTIONS	NET INCOME OR (LOSS)
AETHER REAL ASSETS II LP	347,486.	99,400.	248,086.
AETHER REAL ASSETS III LP	-48,196.	14,236.	-62,432.
AG REALTY FUND VIII LP	-8,319.	0.	-8,319.
AMBERBROOK V LLC	229.	308.	-79.
CARLYLE REALTY PARTNERS VI LP	55,274.	364.	54,910.
DWS GLOBAL COMMODITIES QP TRUST CO	-770.	0.	-770.
FR XII-A BRAVO AIV, LP	-6,786.	0.	-6,786.
FR XII-A CHARLIE AIV, LP	84,094.	72,423.	11,671.
FR XII-A PBF AIV, LP	-98.	0.	-98.
INDUSTRY VENTURES SECONDARY VII LP	-94.	0.	-94.
PINNACLE NATURAL RESOURCES, LP	-696.	0.	-696.
PRIVATE ADVISORS SMALL COMPANY BUYOUT FUND V, LP	-3,555.	1,540.	-5,095.
SECONDARY OPPORTUNITIES FUND III LP	25,235.	29,883.	-4,648.
SIGULER GUFF DISTRESSED OPPORTUNITIES FUND III, LP	250.	0.	250.
SIGULER GUFF DISTRESSED OPPORTUNITIES FUND IV, LP	394.	0.	394.
WARBURG PINCUS PRIVATE EQUITY (E&P) XI-A, LP	-57,937.	0.	-57,937.
WARBURG PINCUS (LEXINGTON) PRIVATE EQUITY XI CAYMAN LP	-15,565.	0.	-15,565.
WARBURG PINCUS (UNIVERSAL) PRIVATE EQUITY XI CAYMAN LP	-5,610.	0.	-5,610.
TOTAL TO FORM 990-T, PAGE 1, LINE 5	365,336.	218,154.	147,182.



# CHAR500

## Annual Filing Checklist

Simply submit the certified CHAR500 with no fee, schedule, or additional attachments IF:

- Your organization is registered as 7A only and you marked the 7A filing exemption in Part 3.
- Your organization is registered as EPTL only and you marked the EPTL filing exemption in Part 3.
- Your organization is registered as DUAL and you marked both the 7A and EPTL filing exemption in Part 3.

### Checklist of Schedules and Attachments

Check the schedules you must submit with your CHAR500 as described in Part 4:

- If you answered "yes" in Part 4a, submit Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsel (FRC), Commercial Co-Venturers (CCV)
- If you answered "yes" in Part 4b, submit Schedule 4b: Government Grants

Check the financial attachments you must submit with your CHAR500:

- IRS Form 990, 990-EZ, or 990-PF, and 990-T if applicable
- All additional IRS Form 990 Schedules, including Schedule B (Schedule of Contributors).
- Our organization was eligible for and filed an IRS 990-N e-postcard. We have included an IRS Form 990-EZ for state purposes only.

If you are a 7A only or DUAL filer, submit the applicable independent Certified Public Accountant's Review or Audit Report:

- Review Report if you received total revenue and support greater than \$250,000 and up to \$750,000.
- Audit Report if you received total revenue and support greater than \$750,000
- No Review Report or Audit Report is required because total revenue and support is less than \$250,000
- We are a DUAL filer and checked box 3a, no Review Report or Audit Report is required

### Calculate Your Fee

For 7A and DUAL filers, calculate the 7A fee:

- \$0, if you checked the 7A exemption in Part 3a
- \$25, if you did not check the 7A exemption in Part 3a

For EPTL and DUAL filers, calculate the EPTL fee:

- \$0, if you checked the EPTL exemption in Part 3b
- \$25, if the NET WORTH is less than \$50,000
- \$50, if the NET WORTH is \$50,000 or more but less than \$250,000
- \$100, if the NET WORTH is \$250,000 or more but less than \$1,000,000
- \$250, if the NET WORTH is \$1,000,000 or more but less than \$10,000,000
- \$750, if the NET WORTH is \$10,000,000 or more but less than \$50,000,000
- \$1500, if the NET WORTH is \$50,000,000 or more

### Send Your Filing

Send your CHAR500, all schedules and attachments, and total fee to:

NYS Office of the Attorney General  
 Charities Bureau Registration Section  
 120 Broadway  
 New York, NY 10271

### Is my Registration Category 7A, EPTL, DUAL or EXEMPT?

Organizations are assigned a Registration Category upon registration with the NY Charities Bureau:

**7A** filers are registered to solicit contributions in New York under Article 7-A of the Executive Law ("7A")

**EPTL** filers are registered under the Estates, Powers & Trusts Law ("EPTL") because they hold assets and/or conduct activities for charitable purposes in NY.

**DUAL** filers are registered under both 7A and EPTL.

**EXEMPT** filers have registered with the NY Charities Bureau and meet conditions in **Schedule E - Registration Exemption for Charitable Organizations**. These organizations are not required to file annual financial reports but may do so voluntarily.

Confirm your Registration Category and learn more about NY law at [www.CharitiesNYS.com](http://www.CharitiesNYS.com)

### Where do I find my organization's NET WORTH?

NET WORTH for fee purposes is calculated on:

- IRS Form 990 Part I, line 22
- IRS Form 990 EZ Part I, line 21
- IRS Form 990 PF, calculate the difference between Total Assets at Fair Market Value (Part II, line 16(c)) and Total Liabilities (Part II, line 23(b)).